

**Transactions between Canadian branches of foreign insurance companies in Canada and head or other offices, companies or persons outside Canada, 2012**

Confidential when completed

Please make a copy for your records

Si vous préférez ce questionnaire en français, veuillez cocher

Toll free : 1-866-765-8143  
 Facsimile: 1-613-951-9031  
 E-mail: bop.surveys@statcan.gc.ca

Please correct any mistakes in Name or Address

**Guide**

- Authority:** This survey is conducted under the authority of the *Statistics Act, Revised Statutes of Canada, 1985, Chapter S-19. COMPLETION OF THIS QUESTIONNAIRE IS A LEGAL REQUIREMENT UNDER THE STATISTICS ACT.*
  
- Purpose:** The data are required to prepare statements on Canada's Balance of International Payments and Investment Position. This information is used as a major input in the conduct of monetary and exchange rate policies by the Government of Canada. Other uses include international trade negotiations, business planning, marketing and international research.
  
- Fax or e-mail transmission disclosure:** If you chose to transmit the questionnaire to Statistics Canada by facsimile or other electronic transmission, please be advised that there could be a risk of disclosure during the communication. However, upon receipt of your information, Statistics Canada will provide the guaranteed level of protection afforded all information collected under the authority of the *Statistics Act*.
  
- Confidentiality:** Statistics Canada is prohibited by law from releasing any information it collects which could identify any person, business, or organization, unless consent has been given by the respondent or as permitted by the *Statistics Act*. Statistics Canada will use the information from this survey for statistical purposes.
  
- Record linkages:** To enhance the data from this survey, Statistics Canada may combine it with information from other surveys or from administrative sources.
  
- Period covered:** Please report as at December 31, 2012. If unable to comply, please report at period end of closest fiscal year:  

Day	Month	Year
  
- Filing of this questionnaire:** A completed copy of this questionnaire should be returned **within four weeks** of receipt to Statistics Canada, Balance of Payments Division, 100 Tunney's Pasture Driveway, Ottawa, Ontario, K1A OT6. Please return the completed form to us in the enclosed envelope or fax it at **613-951-9031**. If you need an clarification about reporting, please call toll free **1-866-765-8143** or e-mail: **bop.surveys@statcan.gc.ca**.
  
- Geographical:** Please use enclosed coding list to identify country. If a country is not identified on the list, please write the name in full.

**Thank You**

Date	Telephone: Facsimile: E-mail:	Name and title of responsible officer	Signature
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**Corporate Information**

Please provide a copy of your corporate organization chart as required by the Office of the Superintendent of Financial Institutions Canada. (For P&C registered companies, please include pages 10.30 and 10.40 of the P&C-2 Annual Return, for Life registered companies, please include page 10.070 from the OSFI-55 Annual Return.)

1. Country of Incorporation (see enclosed coding sheet)  Corporation Organization Chart provided?  
 Country of control of the ultimate parent  Yes  No

List any Canadian insurance companies or the branches of foreign insurers registered in Canada that are part of the same corporate group, if any

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2. Does the company cede business to unregistered, non-resident insurers? (For P&C companies, refer to pages 70.35 and 70.39 of the P&C-2 Annual Return. For Life companies, refer to pages 85.055 to 85.059 of the OSFI-55 Annual Return)

Affiliated Yes  No  Non-Affiliated Yes  No  → If **yes** to either please complete Part 1A.

3. Does the company transact any out of Canada business from a Canadian office or branch?

Affiliated Yes  No  Non-Affiliated Yes  No  → If **yes** to either please complete Parts 1B and/or 2.

If the answers are "No" to the two above questions, complete only the balance sheet below and Part 3.

**Balance Sheet of Canadian Branch or Division**

		2011	2012	Country code
<b>Assets</b>		Cdn. \$'000		
1. Total Assets of Branch - in Canada .....	01			
- abroad (treat foreign securities as abroad) .....	02			
<b>Liabilities</b>				
2. Total Liabilities of Branch - owed to Canadian residents .....	03			
- owed to non-residents .....	04			
<b>Head Office Account and Reserves</b>				
3. Reserves Required by O.S.F.I. (P&C branches only) .....	05			
4. General and Contingency Reserves (P&C branches only) .....	06			
5. Head Office Account, Balance at beginning of year .....	07			
6. Prior Period Adjustments .....	08			
7. Adjusted H.O. Balance at beginning of year .....	09			
8. Net Income (Loss) .....	10			
9. Transfers from Head Office .....	11			<input type="checkbox"/> Check if transfers include securities
10. Transfers to Head Office .....	12			<input type="checkbox"/>
11. Decrease (increase) in Reserves Required .....	13			
12. Decrease (increase) in General & Contingency Reserves .....	14			
13. Net increase (decrease) in Head Office Account .....	15			
14. Head Office Account, Balance at end of year .....	16			
<b>Memorandum Item: Canadian mortgages held by foreign head office</b> .....	17			

Canadian Branches of Foreign Insurance Companies

Purpose: To obtain an estimate by foreign country of insurance premiums, claims and commissions that are transacted between Canadian branches of foreign insurance companies and unregistered foreign insurers.

Part 1A – Reinsurance ceded to unregistered non-resident insurers\*

Thousands of Canadian dollars

Income statement transactions with non-residents				Balance Sheet items with non-residents											
Country Code	Premiums** ceded  (Expense) (01)	Commissions earned  (Revenue) (02)	Claims and adjustments incurred  (Revenue) (03)	Receivables						Payables					
				Unearned Premiums of assuming insurers		Life & health insurers only: Actuarial liabilities of assuming insurers		Outstanding claims & other policy liabilities recoverable from assuming insurers		Other amounts due from assuming insurers		Amounts due to assuming insurers		Cash or securities held as security from assuming insurers	
				Start of Year (04)	End of Year (05)	Start of Year (06)	End of Year (07)	Start of Year (08)	End of Year (09)	Start of Year (10)	End of Year (11)	Start of Year (12)	End of Year (13)	Start of Year (14)	End of Year (15)
Affiliated															
Total Affiliated															
Non-Affiliated															
Total Non-Affiliated															

\* For life insurance branches, all reinsurance transactions with non-residents are to be reported, including those where the assuming reinsurer has not been approved by the Superintendent of Financial Institutions. Life and Property and Casualty insurance branches should include their accident and sickness business. Property and Casualty branches should also include their marine business.  
 \*\* For P&C companies, report premiums on an earned basis; for life companies, report premiums on a written basis.

Canadian Branches of Foreign Insurance Companies

Purpose: To obtain an estimate by foreign country of insurance premiums, claims and commissions that are transacted between Canadian branches of foreign insurance companies and unregistered foreign insurers. Report direct business with non-residents in Part 2.

**Part 1B – Reinsurance assumed from unregistered non-resident insurers – Out of Canada Business**

(Gross Business Transacted from a Canadian Head Office/Branch\*)

Thousands of Canadian dollars

Country Code	Income statement transactions with non-residents			Balance Sheet items with non-residents											
				Payables						Receivables					
	Premiums** assumed (earned) (Revenue) (01)	Commissions incurred (Expense) (02)	Claims and adjustments incurred (Expense) (03)	Unearned Premiums		Life & health insurers only: Actuarial liabilities		Outstanding claims & other policy liabilities		Other amounts due to policyholders and ceding insurers		Amounts due from policyholders and ceding insurers		Cash or securities held by ceding insurers	
			Start of Year (04)	End of Year (05)	Start of Year (06)	End of Year (07)	Start of Year (08)	End of Year (09)	Start of Year (10)	End of Year (11)	Start of Year (12)	End of Year (13)	Start of Year (14)	End of Year (15)	
Affiliated															
Total Affiliated															
Non-Affiliated															
Total Non-Affiliated															

\* Life and Property and Casualty insurance branches should include their accident and sickness business. Property and Casualty branches should also include their marine business.  
 \*\* For P&C companies, report premiums on an earned basis; for life companies, report premiums on a written basis.



Canadian Branches of Foreign Insurance Companies

Part 3 - Other International Transactions <sup>1</sup>

Thousands of Canadian dollars

Country code	Transactions in securities by Canadian branches (or on their behalf by foreign head offices) carried out through foreign based investment dealers		Other Revenue and Expenses associated with income, business and miscellaneous services. Do not include any amounts relating to insurance transactions as reported in Parts 1 & 2.				Type <sup>2</sup> (Use codes as specified below)  (07)
			Revenue from non-residents		Expenses to non-residents		
			Increase in investment  (01)	Decrease in investment  (02)	Affiliated companies  (03)	Non-affiliated companies  (04)	

<sup>1</sup> Life and property & casualty insurance branches should include their accident and sickness business. Property and casualty branches should include their marine business.

<sup>2</sup> Type: 01. Net income of foreign subsidiaries, affiliates, and branches (equity method)  
 02. Computer and information services  
 03. Management and administrative services (include consulting, evaluation and adjustment services, actuarial services, salvage administration services, and regulatory and monitoring services on indemnities and recovery services)  
 04. Legal services  
 05. Advertising services  
 06. Accounting services  
 07. Other Services  
 08. Interest on portfolio investments  
 09. Dividends on portfolio investments  
 10. Interest earned from foreign subsidiaries or affiliates or expensed to foreign parent or affiliates  
 11. Settlement annuities  
 12. Other  
 Use a separate line for each type of revenue or expense.