



# Quarterly Survey of Financial Statements Segregated Funds

Confidential document when completed

**Please return one completed copy within 30 days of quarter end**

Si vous préférez ce questionnaire en français, veuillez cocher

Please correct name and address, if necessary

## INFORMATION

### AUTHORITY

Collected under authority of the Statistics Act, Revised Statutes of Canada, 1985, Chapter S19.

– If actual financial data is not available, estimates may be used in this report.

### PURPOSE

The information you provide is used in the preparation of industry financial statements for the Canadian financial sector; also, these financial statements are used as inputs to the National Economic Accounts.

– Please explain any significant changes in accounting or basis of reporting affecting the comparison of this return with that of the previous quarter.

### FURTHER INFORMATION

Please refer to "Financial Statistics Reporting Guide" or contact

### CONFIDENTIALITY

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable institution without the previous written consent of that institution. The data reported on this questionnaire will be treated in confidence, used for statistical purposes and published in aggregate form only. The confidentiality provisions of the Statistics Act are not affected by either the Access to Information Act or any other Legislation.

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### INSTRUCTIONS

- Report amounts in thousands of Canadian dollars on a cumulative basis from the start of the fiscal year.
- This report is for segregated funds established for investment by Canadian policyholders only.

This report covers the period from:

month     to month

Company official to contact regarding this form  
(Please print)

Signature

Position

Date

Telephone number

( )

## FOR STATISTICS CANADA USE ONLY

Rec'd:	Captured:	Analyzed:	Edited:
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**SECTION A – BALANCE SHEET**

Balance Sheet Date:

01       /    /     
 year    month    day

ASSETS (at market value)		Thousands of dollars	
1.	<b>Cash and demand deposits</b> (report term deposits which can be cashed on demand in item 2)		
1.1	Cash and Canadian currency demand deposits in chartered bank branches in Canada .....	11110	
1.8	Other Canadian currency demand deposits .....	11180	
1.9	Foreign currency demand deposits .....	11200	
2.	<b>Term deposits</b> (including bearer term notes, swapped deposits, and deposit receipts, certificates, debentures and like instruments)		
2.1	Canadian currency		
2.1.1	Chartered bank branches in Canada .....	11631	
2.1.9	Other institutions .....	11638	
2.9	Foreign currency .....	11680	
3.	<b>Accounts receivable and accrued revenue</b> .....	12100	
3.9	Less: Allowance for doubtful accounts .....	12800	(            )
4.	<b>Canadian investments</b> (before deducting provisions or allowances for losses - item 10; report mortgage loans under item 6)		
4.1	Government of Canada treasury bills .....	15110	
4.2	Bankers' acceptances and finance and other short-term paper (original term to maturity of less than one year; including provincial and municipal government short-term bills and notes) .....	15120	
4.3	Government of Canada debt .....	15130	
4.4	Provincial and municipal government debt (exclude short-term bills and notes - item 4.2) .....	15140	
4.5	Corporate bonds and debentures (including mortgage bonds; exclude short-term paper - item 4.2) .....	15150	
4.6	Corporate shares (including share warrants and options) .....	15160	
4.9	Other Canadian investments .....	15180	
5.	<b>Foreign investments</b> (before deducting provisions or allowances for losses - item 10; report term deposits under item 2 and mortgage loans under item 6)	15200	
6.	<b>Mortgage loans</b> (before deducting provisions or allowances for losses - item 10)		
6.1	Secured by property in Canada		
6.1.1	Residential .....	16110	
6.1.2	Non-residential .....	16120	
6.2	Secured by property outside Canada .....	16200	
7.	<b>Repossessed assets held for sale</b> .....	18100	
8.	<b>Real estate and ground rents held for income</b> (including properties held for sale; report depreciation under item 8.9 and encumbrances in item 29) .....	18320	
8.9	Less: Accumulated depreciation .....	18350	(            )
9.	<b>Other assets</b> .....	19880	
10.	<b>Less: Accumulated provisions and allowances for losses on investments, loans and other assets, charged to expenses</b> .....	19810	(            )
15.	<b>TOTAL ASSETS (AT MARKET VALUE)</b> .....	<b>61300</b>	
16.	<b>Less (add): Unrealized appreciation on assets</b> (i.e., difference between market value and book value of assets) .....	61100	
20.	<b>TOTAL ASSETS (AT BOOKVALUE)</b> .....	<b>10000</b>	
<b>LIABILITIES</b>			
21.	<b>Accounts payable and accrued liabilities</b> .....	22100	
22.	<b>Loans and overdrafts</b>		
22.1	With Chartered bank branches in Canada .....	25110	
22.9	With others .....	25180	
29.	<b>Other liabilities</b> .....	29000	
30.	<b>Liability to policyholders</b>		
30.1	RRSP funds .....	32110	
30.9	Other funds .....	32180	
31.	<b>Surplus</b> .....	35000	
40.	<b>TOTAL LIABILITIES</b> (should equal item 15) .....	<b>39000</b>	

<b>SECTION B – STATEMENT OF SURPLUS</b>		Period Covered:	
		02	From
		03	To
		year	month
		day	day
		Thousands of dollars	
41.	Opening balance .....	38100	
<b>Add:</b>			
42.	Net income (loss) for the period (transfer from item 80) .....	38200	
43.	Other additions (deductions) - please specify .....	38700	
.....			
.....			
<b>Deduct:</b>			
44.	Allocation of net investment income to policyholders' account .....	38840	
50.	<b>CLOSING BALANCE</b> (SHOULD EQUAL ITEM 31) .....	<b>38000</b>	
<b>SECTION C – INCOME STATEMENT</b>			
<b>REVENUE</b> (net of goods and services tax)			
51.	Rental revenue (gross) .....	43000	
52.	Interest revenue from Canadian sources (including amortization of discounts and premiums on investments)		
52.1	Bonds and debentures .....	45100	
52.2	Mortgages .....	45200	
52.9	Other .....	45800	
53.	Dividends from Canadian corporations .....	46000	
54.	Foreign dividend and interest revenue		
54.1	Dividends .....	47100	
54.2	Interest (including amortization of discounts and premiums on investments) .....	47200	
55.	Gains (losses) on the sale of assets (report extraordinary items under item 74; also complete Supplementary Schedule A) .....	48000	
59.	Other revenue .....	49000	
60.	<b>TOTAL REVENUE</b> .....	<b>40000</b>	
<b>EXPENSES</b>			
61.	Depreciation .....	52100	
62.	Interest expense .....	53000	
69.	Other expenses .....	55000	
70.	<b>TOTAL EXPENSES</b> .....	<b>50000</b>	
71.	Gains (losses) on the translation of foreign currency (realized and unrealized; also complete Supplementary Schedule A) .....	56100	
72.	Write-offs and valuation adjustments not classified as extraordinary (report extraordinary items under item 74; also complete Supplementary Schedule A) .....	56200	
73.	Income (loss) before extraordinary items .....	<b>58470</b>	
74.	Extraordinary gains (losses) (realized and unrealized; also complete Supplementary Schedule A)	58310	
80.	<b>NET INCOME (LOSS)</b> .....	<b>58480</b>	
<b>SUPPLEMENTARY QUESTION – INCOME STATEMENT</b>			
A.	Unusual revenues, expenses, gains/losses from continuing business operations (reported in above income statement) .....	74700	

**SUPPLEMENTARY SCHEDULE A: DISTRIBUTION OF REALIZED GAINS (LOSSES)  
AND WRITE-UPS (WRITE-DOWNS)**  
- item numbers refer to corresponding balance sheet items

		Thousands of dollars	
4.	<b>Canadian investments</b>		
4.3	Government of Canada debt .....	81310	
4.4	Provincial and municipal government debt .....	81320	
4.5	Corporate bonds and debentures .....	81330	
4.6	Corporate shares .....	81340	
4.9	Other Canadian investments .....	81380	
5.	<b>Foreign investments</b> .....	81400	
6.	<b>Mortgage loans</b>		
6.1	Secured by property in Canada		
6.1.1	Residential .....	81511	
6.1.2	Non-residential .....	81516	
6.2	Secured by property outside Canada .....	81520	
7.	<b>Repossessed assets held for sale</b> .....	81710	
8.	<b>Real estate and ground rents held for income</b> .....	81720	
	<b>Other assets and liabilities</b> (please specify) .....	81800	
	.....		
	.....		
	<b>TOTAL</b> (should equal sum of items 55, 71, 72 and 74) .....	<b>81000</b>	

**SUPPLEMENTARY SCHEDULE B: YEAR-END AND PRIOR YEAR'S ADJUSTMENTS**

If this report covers your fourth fiscal quarter and the reported revenues and expenses include year-end adjustments (eg. adjustments from preliminary estimates to actual, or year-end accruals not made in the interim financial statements)

OR

If this report includes adjustments through retained earnings pertaining to the prior year's operations (eg. year-end adjustments of the prior year made after the prior year's fourth quarter report was prepared):

**SPECIFY BELOW THE REVENUE OR EXPENSE ACCOUNTS AFFECTED BY THE ADJUSTMENTS AND THE AMOUNT OF INCREASE OR DECREASE.**

Report only adjustments in excess of \$500 thousand.

ITEM DESCRIPTION	ITEM NUMBER	INCREASE	DECREASE
Thousands of dollars			