



Quarterly Survey of Financial Statements Segregated Funds

Confidential document when completed

Please return one completed copy within 30 days of quarter end

Si vous préférez ce questionnaire en français, veuillez cocher

Please correct name and address, if necessary

INFORMATION

AUTHORITY

Collected under authority of the Statistics Act, Revised Statutes of Canada, 1985, Chapter S19.

– If actual financial data is not available, estimates may be used in this report.

PURPOSE

The information you provide is used in the preparation of industry financial statements for the Canadian financial sector; also, these financial statements are used as inputs to the National Economic Accounts.

– Please explain any significant changes in accounting or basis of reporting affecting the comparison of this return with that of the previous quarter.

FURTHER INFORMATION

Please refer to "Financial Statistics Reporting Guide" or contact

CONFIDENTIALITY

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable institution without the previous written consent of that institution. The data reported on this questionnaire will be treated in confidence, used for statistical purposes and published in aggregate form only. The confidentiality provisions of the Statistics Act are not affected by either the Access to Information Act or any other Legislation.

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INSTRUCTIONS

- Report amounts in thousands of Canadian dollars on a cumulative basis from the start of the fiscal year.
- This report is for segregated funds established for investment by Canadian policyholders only.

This report covers the period from:

month 2 | 0 | | | to month 2 | 0 | | |

Company official to contact regarding this form (Please print)		Position	
Signature	Telephone number ()	Facsimile number ()	
	E-Mail address		
Date			

FOR STATISTICS CANADA USE ONLY

Rec'd:	Captured:	Analyzed:	Edited:
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SECTION A – BALANCE SHEET

Balance Sheet Date:
 01
 year month day

ASSETS (at market value)		Thousands of dollars	
1.	Cash and demand deposits (report term deposits which can be cashed on demand in item 2)		
1.1	Cash and Canadian currency demand deposits in chartered bank branches in Canada	11110	
1.8	Other Canadian currency demand deposits	11180	
1.9	Foreign currency demand deposits	11200	
2.	Term deposits (including bearer term notes, swapped deposits, and deposit receipts, certificates, debentures and like instruments)		
2.1	Canadian currency		
2.1.1	Chartered bank branches in Canada	11631	
2.1.9	Other institutions	11638	
2.9	Foreign currency	11680	
3.	Accounts receivable and accrued revenue	12100	
3.9	Less: Allowance for doubtful accounts	12800	()
4.	Canadian investments (before deducting provisions or allowances for losses - item 10; report mortgage loans under item 6)		
4.1	Government of Canada treasury bills	15110	
4.2	Bankers' acceptances and finance and other short-term paper (original term to maturity of less than one year; including provincial and municipal government short-term bills and notes)	15120	
4.3	Government of Canada debt	15130	
4.4	Provincial and municipal government debt (exclude short-term bills and notes - item 4.2)	15140	
4.5	Corporate bonds and debentures (including mortgage bonds; exclude short-term paper - item 4.2)	15150	
4.6	Corporate shares (including share warrants and options)	15160	
4.9	Other Canadian investments	15180	
5.	Foreign investments (before deducting provisions or allowances for losses - item 10; report term deposits under item 2 and mortgage loans under item 6)	15200	
6.	Mortgage loans (before deducting provisions or allowances for losses - item 10)		
6.1	Secured by property in Canada		
6.1.1	Residential	16110	
6.1.2	Non-residential	16120	
6.2	Secured by property outside Canada	16200	
7.	Repossessed assets held for sale	18100	
8.	Real estate and ground rents held for income (including properties held for sale; report depreciation under item 8.9 and encumbrances in item 29)	18320	
8.9	Less: Accumulated depreciation	18350	()
9.	Other assets	19880	
10.	Less: Accumulated provisions and allowances for losses on investments, loans and other assets, charged to expenses	19810	()
15.	TOTAL ASSETS (AT MARKET VALUE)	61300	
16.	Less (add): Unrealized appreciation on assets (i.e., difference between market value and book value of assets)	61100	
20.	TOTAL ASSETS (AT BOOK VALUE)	10000	
LIABILITIES			
21.	Accounts payable and accrued liabilities	22100	
22.	Loans and overdrafts		
22.1	With Chartered bank branches in Canada	25110	
22.9	With others	25180	
29.	Other liabilities	29000	
30.	Liability to policyholders		
30.1	RRSP funds	32110	
30.9	Other funds	32180	
31.	Surplus	35000	
40.	TOTAL LIABILITIES (should equal item 15)	39000	

SECTION B – STATEMENT OF SURPLUS		02 03	Period Covered:		
			From		
			To		
			year	month	day
		Thousands of dollars			
41.	Opening balance	38100			
Add:					
42.	Net income (loss) for the period (transfer from item 80)	38200			
43.	Other additions (deductions) - please specify	38700			
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.....					
Deduct:					
44.	Allocation of net investment income to policyholders' account	38840			
50.	CLOSING BALANCE (SHOULD EQUAL ITEM 31)	38000			
SECTION C – INCOME STATEMENT					
REVENUE (net of goods and services tax)					
51.	Rental revenue (gross)	43000			
52.	Interest revenue from Canadian sources (including amortization of discounts and premiums on investments)				
52.1	Bonds and debentures	45100			
52.2	Mortgages	45200			
52.9	Other	45800			
53.	Dividends from Canadian corporations	46000			
54.	Foreign dividend and interest revenue				
54.1	Dividends	47100			
54.2	Interest (including amortization of discounts and premiums on investments)	47200			
55.	Gains (losses) on the sale of assets (report extraordinary items under item 74; also complete Supplementary Schedule A)	48000			
59.	Other revenue	49000			
60.	TOTAL REVENUE	40000			
EXPENSES					
61.	Depreciation	52100			
62.	Interest expense	53000			
69.	Other expenses	55000			
70.	TOTAL EXPENSES	50000			
71.	Gains (losses) on the translation of foreign currency (realized and unrealized; also complete Supplementary Schedule A)	56100			
72.	Write-offs and valuation adjustments not classified as extraordinary (report extraordinary items under item 74; also complete Supplementary Schedule A)	56200			
73.	Income (loss) before extraordinary items	58470			
74.	Extraordinary gains (losses) (realized and unrealized; also complete Supplementary Schedule A)	58310			
80.	NET INCOME (LOSS)	58480			
SUPPLEMENTARY QUESTION – INCOME STATEMENT					
A.	Unusual revenues, expenses, gains/losses from continuing business operations (reported in above income statement)	74700			

**SUPPLEMENTARY SCHEDULE A: DISTRIBUTION OF REALIZED GAINS (LOSSES)
AND WRITE-UPS (WRITE-DOWNS)**
- item numbers refer to corresponding balance sheet items

		Thousands of dollars	
4.	Canadian investments		
4.3	Government of Canada debt	81310	
4.4	Provincial and municipal government debt	81320	
4.5	Corporate bonds and debentures	81330	
4.6	Corporate shares	81340	
4.9	Other Canadian investments	81380	
5.	Foreign investments	81400	
6.	Mortgage loans		
6.1	Secured by property in Canada		
6.1.1	Residential	81511	
6.1.2	Non-residential	81516	
6.2	Secured by property outside Canada	81520	
7.	Repossessed assets held for sale	81710	
8.	Real estate and ground rents held for income	81720	
	Other assets and liabilities (please specify)	81800	
		
		
	TOTAL (should equal sum of items 55, 71, 72 and 74)	81000	

SUPPLEMENTARY SCHEDULE B: YEAR-END AND PRIOR YEAR'S ADJUSTMENTS

If this report covers your fourth fiscal quarter and the reported revenues and expenses include year-end adjustments (eg. adjustments from preliminary estimates to actual, or year-end accruals not made in the interim financial statements)

OR

If this report includes adjustments through retained earnings pertaining to the prior year's operations (eg. year-end adjustments of the prior year made after the prior year's fourth quarter report was prepared):

SPECIFY BELOW THE REVENUE OR EXPENSE ACCOUNTS AFFECTED BY THE ADJUSTMENTS AND THE AMOUNT OF INCREASE OR DECREASE.

Report only adjustments in excess of \$500 thousand.

ITEM DESCRIPTION	ITEM NUMBER	INCREASE	DECREASE
		Thousands of dollars	