



Quarterly Survey of Financial Statements Investment Funds

Confidential document when completed

Please return one completed copy within 30 days of quarter end

Si vous préférez ce questionnaire en français, veuillez cocher

Please correct name and address, if necessary

INFORMATION

AUTHORITY

Collected under authority of the Statistics Act, Revised Statutes of Canada, 1985, Chapter S19.

– If actual financial data is not available, estimates may be used in this report.

PURPOSE

The information you provide is used in the preparation of industry financial statements for the Canadian financial sector; also, these financial statements are used as inputs to the National Economic Accounts.

– Please explain any significant changes in accounting or basis of reporting affecting the comparison of this return with that of the previous quarter.

FURTHER INFORMATION

Please refer to "Financial Statistics Reporting Guide" or contact:

CONFIDENTIALITY

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable institution without the previous written consent of that institution. The data reported on this questionnaire will be treated in confidence, used for statistical purposes and published in aggregate form only. The confidentiality provisions of the Statistics Act are not affected by either the Access to Information Act or any other Legislation.

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INSTRUCTIONS

- Report amounts in thousands of Canadian dollars.
- This survey covers both incorporated and trustee funds, including funds set up solely to accept contributions made under registered retirement savings and other tax-sheltered plans.

This report covers the period from:

month to month

Company official to contact regarding this form
(Please print)

Signature

Position

Date

Telephone number

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FOR STATISTICS CANADA USE ONLY

Rec'd:	Captured:	Analyzed:	Edited:
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SECTION A – BALANCE SHEET		Balance Sheet Date:		
		01	year	month
		Thousands of dollars		
1.	Cash and demand deposits (report term deposits which are cashable on demand in item 2)			
1.1	Cash and Canadian currency demand deposits in chartered bank branches in Canada	11110		
1.8	Other Canadian currency demand deposits	11180		
1.9	Foreign currency demand deposits	11200		
2.	Term deposits (including bearer term notes, swapped deposits and deposit receipts, certificates, debentures and like instruments)			
2.1	Canadian currency			
2.1.1	Chartered bank branches in Canada	11631		
2.1.9	Other institutions	11638		
2.9	Foreign currency	11680		
3.	Accounts receivable and accrued revenue (before allowance for doubtful accounts)	12100		
3.9	Less: Allowance for doubtful accounts	12800	()
4.	Canadian investments, at amortized cost (before deducting provisions and allowances for losses - item 10; report mortgage loans under item 6 and other loans under item 7)			
4.1	Government of Canada treasury bills	15110		
4.2	Bankers' acceptances and finance and other short-term paper (original term to maturity of less than one year; including provincial and municipal government short-term bills and notes)	15120		
4.3	Government of Canada debt	15130		
4.4	Provincial and municipal government debt (exclude short-term bills and notes - item 4.2)	15140		
4.5	Corporate bonds and debentures (including mortgage bonds; exclude short-term paper - item 4.2)	15150		
4.6	Corporate shares (including share warrants and options)	15160		
4.6.1	Investment fund shares or unit - Canadian	15170		
4.9	Other Canadian investments	15180		
5.	Foreign investments, at amortized cost (before deducting provisions or allowances for losses - item 10; report term deposits under item 2, mortgage loans under item 6 and other loans under item 7)	15200		
6.	Mortgage loans (before deducting provisions or allowances for losses - item 10)	16000		
7.	Non-mortgage loans (before deducting provisions or allowances for losses - item 10)	17000		
8.	Repossessed assets held for sale	18100		
9.	Other assets	19880		
10.	Less: Accumulated provisions and allowances for losses on investments, loans and other assets, charged to expenses	19810	()
15.	TOTAL ASSETS AT COST	10000		
16.	Unrealized appreciation on assets (i.e., difference between market value and book value of assets)	61100		
20.	TOTAL ASSETS AT MARKET VALUE	61300		
LIABILITIES AND EQUITY				
21.	Accounts payable and accrued liabilities	22100		
22.	Income taxes payable (report income taxes recoverable in item 3)	23000		
23.	Loans and overdrafts			
23.1	With Chartered bank branches in Canada	25110		
23.9	With others	25180		
29.	Other liabilities	29000		
30.	TOTAL LIABILITIES	20000		
31.	Unitholders' Equity			
31.1	RRSP funds	32210		
31.2	Other tax-sheltered funds	32220		
31.3	Non-tax sheltered funds	32230		
40.	TOTAL UNITHOLDERS' EQUITY	32200		
50.	TOTAL LIABILITIES AND EQUITY (SHOULD EQUAL ITEM 15)	39000		

SECTION B – STATEMENT OF UNITHOLDERS' EQUITY		Period Covered:	
		02	From <input type="text"/> <input type="text"/> <input type="text"/>
		03	To <input type="text"/> <input type="text"/> <input type="text"/>
		year month day	
		Thousands of dollars	
51.	Opening balance (must equal item 40 of prior quarter)	38100	
Add:			
52.	Net income (loss) for the period (transfer from item 100)	38200	
53.	Proceeds from the sale of units	38610	
54.	Reinvestment of income in additional units	38620	
59.	Other additions (deductions) - please specify	38700	
Deduct:			
60.	Amounts paid on the redemption of units	38630	
61.	Dividends declared	38800	
70.	BALANCE AT END OF PERIOD (SHOULD EQUAL ITEM 40)	38000	
SECTION C – INCOME STATEMENT			
REVENUE (net of applicable goods and services tax)			
71.	Interest revenue from Canadian sources (including amortization of discounts and premiums on investments)		
71.1	Bonds and debentures	45100	
71.2	Mortgages	45200	
71.9	Other	45800	
72.	Dividends from Canadian corporations	46000	
72.1.	Income allocated from other investment funds	78300	
73.	Foreign dividend and interest revenue		
73.1	Dividends	47100	
73.2	Interest (including amortization of discounts and premiums on investments)	47200	
74.	Gains (losses) on the sale of assets (before income taxes; report extraordinary items under item 96; also complete Supplementary Schedule A)	48000	
79.	Other revenue	49000	
80.	TOTAL REVENUE	40000	
EXPENSES			
81.	Interest expense	53000	
89.	Other expenses	55000	
90.	TOTAL EXPENSES	50000	
91.	Gains (losses) on the translation of foreign currency (realized and unrealized; also complete Supplementary Schedule A)	56100	
92.	Write-offs and valuation adjustments not classified as extraordinary (report extraordinary items under item 96; also complete Supplementary Schedule A)	56200	
93.	Income (loss) before income taxes and extraordinary items	58460	
94.	Canadian income taxes	57000	
95.	Income (loss) after income taxes	58470	
96.	Extraordinary gains (losses) (net of income taxes; also complete Supplementary Schedule A)	58300	
100.	NET INCOME (LOSS)	58480	
SUPPLEMENTARY QUESTION			
A.	Unusual revenues, expenses, gains/losses from continuing business operations (reported in income statement)	74700	

**SUPPLEMENTARY SCHEDULE A: DISTRIBUTION OF REALIZED GAINS (LOSSES)
AND WRITE-UPS (WRITE-DOWNS) BY ASSET**
– item numbers refer to corresponding balance sheet items

		Thousands of dollars	
4.	Canadian investments		
4.3	Government of Canada debt	81310	
4.4	Provincial and municipal government debt	81320	
4.5	Corporate bonds and debentures	81330	
4.6	Corporate shares	81340	
4.6.1	Investment fund shares or units - Canadian	81370	
4.9	Other Canadian investments	81380	
5.	Foreign investments	81400	
6.	Mortgage loans	81500	
7.	Non-mortgage loans	81600	
8.	Repossessed assets held for sale	81710	
	Other assets and liabilities (please specify)	81800	
		
		
	TOTAL (should equal sum of items 74, 91, 92 and 96)	81000	

SUPPLEMENTARY SCHEDULE B: YEAR-END AND PRIOR YEAR'S ADJUSTMENTS

If this report covers your fourth fiscal quarter and the reported revenues and expenses include year-end adjustments (eg. adjustments from preliminary estimates to actual, or year-end accruals not made in the interim financial statements)

OR

If this report includes adjustments through retained earnings pertaining to the prior year's operations (eg. year-end adjustments of the prior year made after the prior year's fourth quarter report was prepared):

SPECIFY BELOW THE REVENUE OR EXPENSE ACCOUNTS AFFECTED BY THE ADJUSTMENTS AND THE AMOUNT OF INCREASE OR DECREASE.

Report only adjustments in excess of \$500 thousand.

ITEM DESCRIPTION	ITEM NUMBER	Thousands of dollars	
		INCREASE	DECREASE