



**Confidential when completed**

This survey is conducted under the authority of the *Statistics Act*, Revised Statutes of Canada, 1985, c. S-19.

Completion of this questionnaire is a legal requirement under the *Statistics Act*.

**Please return completed copy within 30 days of quarter end.**

Si vous préférez ce questionnaire en français, veuillez cocher

Please correct name and address, if necessary.

## Information

### Purpose

The data you provide is used to prepare industry financial statements for the Canadian business sector and as an input into the calculation of gross domestic product, the national balance sheet and Canada's international investment position. This information is used by all levels of government, the private sector, analysts and the general public to understand current economic conditions. Selected results of this survey are available at [www.statcan.ca](http://www.statcan.ca) (see catalogue 61-008-XWE and CANSIM tables 187-0001 and 187-0002.)

### Confidentiality

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable business without the previous written consent of that business. The data reported on this questionnaire will be treated in confidence, used for statistical purposes and published in aggregate form only. The confidentiality provisions of the *Statistics Act* are not affected by either the *Access to Information Act* or any other legislation.

Instructions for completing and returning the questionnaire can be found on the last page.

## Reporting Entity

1. Please explain any significant events, changes in accounting or basis of reporting, that have impacted operations since the prior period

(if needed, additional space is available in the comments section on page 6).

FOR INFORMATION ONLY

---

---

---

---

---

---

2. This report covers the period from:

Day	Month	Year	to	Day	Month	Year
<input type="text"/>	<input type="text"/>	<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>

## Certification

I certify that the information herein is complete and correct to the best of my knowledge.

<input type="text"/>	<input type="text"/>
Signature	Date

Name of company official (please print)	Position of company official
<input type="text"/>	<input type="text"/>

Name and position of person to contact if different from above (please print)	E-mail address
<input type="text"/>	<input type="text"/>

Telephone number	Extension	Facsimile number
<input type="text"/>	<input type="text"/>	<input type="text"/>

## For Statistics Canada Use Only

Received	Captured	Edited	Analyzed
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**SECTION A – ASSETS**

Balance sheet date ► Day    Month    Year

Account	Thousands of dollars	Instructions
<b>1. Cash and deposits</b>		
	11300	
(a) Canadian currency .....	<input type="text"/>	Include demand and term deposits.
	11400	
(b) foreign currency .....	<input type="text"/>	Include demand and term deposits.
<b>2. Accounts receivable</b> .....		
	12100	
	<input type="text"/>	Report amount before deducting allowances for doubtful accounts.
<b>3. Allowance for doubtful accounts</b> .....		
	12800	
	( <input type="text"/> )	Report amount related to accounts receivable.
<b>4. Canadian investments – non-affiliates</b>		
	15110	
(a) Government of Canada treasury bills .....	<input type="text"/>	
	15120	
(b) bankers' acceptances and finance and other short-term paper .....	<input type="text"/>	Include securities with an original term-to-maturity of less than one year. Include provincial and municipal short-term bills and notes and short-term asset-backed securities.
	15130	
(c) Government of Canada debt .....	<input type="text"/>	
	15140	
(d) provincial and municipal government debt .....	<input type="text"/>	Report short-term bills and notes at item 4 (b).
	15150	
(e) corporate bonds and debentures .....	<input type="text"/>	Include mortgage bonds and asset or mortgage-backed bonds. Report short-term paper at item 4 (b).
	15160	
(f) corporate shares and income trust units .....	<input type="text"/>	Report share warrants and options at line 6.
	15170	
(g) investment fund shares or units .....	<input type="text"/>	
	15180	
(h) other Canadian investments .....	<input type="text"/>	Include precious metals and gold and silver certificates. Report derivatives at line 6.
<b>5. Foreign investments</b> .....		
	15210	
(a) money market instruments .....	<input type="text"/>	Report cash and deposits at line 1, derivatives at line 6, mortgage loans at line 8 and provisions for losses at line 9. Include commercial paper.
	15220	
(b) debt instruments .....	<input type="text"/>	Include bonds, debentures and notes.
	15230	
(c) corporate shares .....	<input type="text"/>	
	15270	
(d) investment fund units .....	<input type="text"/>	
	15280	
(e) other foreign investments .....	<input type="text"/>	
<b>6. Derivatives</b> .....		
	19900	
	<input type="text"/>	Report gross asset positions. Include derivative contracts, warrants, and options. Report liability positions at line 16.
<b>7. Reverse repurchase agreements</b> .....		
	69100	
	<input type="text"/>	Report liabilities related to repurchase agreements at line 17.
<b>8. Mortgage loans</b> .....		
	16000	
	<input type="text"/>	Report provisions for losses at line 9.
<b>9. Other assets</b> .....		
	19800	
	<input type="text"/>	Report assets not elsewhere specified. Include accumulated provisions for losses (credits) or investments and loans.
<b>10. TOTAL ASSETS (at cost)</b> .....		
	10000	
	<input type="text"/>	Report the total value of assets at cost. Must balance with line 21.
<b>11. Unrealized appreciation of assets</b> .....		
	61100	
	<input type="text"/>	Report the difference between the cost and market value of assets. Report accumulated unrealized gains as a positive and accumulated losses as a negative.
<b>12. Total assets (at market value)</b> .....		
	61300	
	<input type="text"/>	Report total assets at current market (fair) values. This should equal the sum of lines 10 and 11.

**SECTION B – LIABILITIES AND EQUITY**

Balance sheet date ► Day    Month    Year

Account	Thousands of dollars	Instructions
---------	----------------------	--------------

**LIABILITIES**

13. Accounts payable .....	22100	<input type="text"/>	Include accrued payables.
14. Income taxes payable .....	23000	<input type="text"/>	Report income taxes recoverable at line 2.
15. Overdrafts from lenders in Canada .....	25700	<input type="text"/>	Include Canadian and foreign lenders operating in Canada.
16. Derivatives .....	29900	<input type="text"/>	Report gross liability positions. Report asset positions at line 6.
17. Obligations related to repurchase agreements .....	69200	<input type="text"/>	Report reverse repurchase agreements at line 7.
18. Other liabilities .....	29000	<input type="text"/>	Report liabilities not elsewhere specified.
<b>19. TOTAL LIABILITIES</b> .....	<b>20000</b>	<input style="border: 2px solid black;" type="text"/>	

**20. UNITHOLDER'S EQUITY**

(a) opening balance .....	38100	<input type="text"/>	
(b) net income (loss) for the current period .....	38200	<input type="text"/>	Transfer from line 39.
(c) proceeds from the sale of units .....	38610	<input type="text"/>	
(d) reinvestment of income in additional units .....	38620	<input type="text"/>	
(e) other additions (deductions) .....	38700	<input type="text"/>	Please specify major items: <input style="width: 100%; height: 20px;" type="text"/> <input style="width: 100%; height: 20px;" type="text"/>
(f) amounts paid on the redemption of units .....	38630	<input style="width: 100%; text-align: center;" type="text"/>	
(g) dividends declared .....	38800	<input style="width: 100%; text-align: center;" type="text"/>	
(h) closing balance .....	38000	<input style="border: 2px solid black;" type="text"/>	Sum of items 20 (a) to 20 (g).
<b>21. TOTAL LIABILITIES AND EQUITY</b> .....	<b>39000</b>	<input style="border: 2px solid black;" type="text"/>	Should be equal to line 10.

**ADDITIONAL INFORMATION**

22. Unitholders' Equity .....			Exclude accumulated unrealized appreciation of assets.
(a) RRSP funds .....	32210	<input type="text"/>	
(b) other tax-sheltered funds .....	32220	<input type="text"/>	Include RESP, RPP, DPSP and other tax-sheltered plans.
(c) non tax-sheltered funds .....	32230	<input type="text"/>	
<b>23. Total Unitholder's Equity</b> .....	<b>32200</b>	<input style="border: 2px solid black;" type="text"/>	Sum of items 22 (a) to 22 (c) should equal item 20 (h).

**SECTION C – INCOME STATEMENT**

From  Day  Month  Year  To  Day  Month  Year

<b>Account</b>	<b>Thousands of dollars</b>	<b>Instructions</b>
----------------	-----------------------------	---------------------

**REVENUE** (net of sales taxes)

**24. Interest revenue from Canadian sources**

(a) bonds and debentures	45110	<input type="text"/>
(1) interest revenue .....		
(2) amortization of discounts and (premiums) .....	45120	<input type="text"/>
(b) mortgages	45210	<input type="text"/>
(1) interest revenue .....		
(2) amortization of discounts and (premiums) .....	45220	<input type="text"/>
(c) other interest revenue .....	45800	<input type="text"/>

**25. Interest revenue from foreign sources** .....

Include amortization of discounts and (premiums) on foreign investments.

**26. Dividends**

(a) from Canadian corporations .....	46000	<input type="text"/>
(b) from foreign corporations .....	47100	<input type="text"/>

Include dividends received from Canadian trusts.

**27. Income allocated from other investment funds** .....

**28. Derivative gains (losses)** .....

Report all values related to derivatives whether realized or unrealized. Also complete Section D (lines 51 to 55).

**29. Gains (losses) on the sale of assets** .....

Report value before income taxes. Also complete Section D (lines 51 to 55).

**30. Other revenue** .....

Report revenue from all other sources not disclosed above.

**31. TOTAL REVENUE** .....

**EXPENSES**

**32. Management fees** .....

**33. Transfer agent fees** .....

**34. Other expenses** .....

**35. TOTAL EXPENSES** .....

**36. Foreign currency gains (losses)** .....

Report realized and unrealized values. Report amounts related to derivatives at line 28. Also complete Section D (lines 51 to 55).

**37. INCOME (LOSS) BEFORE INCOME TAX** .....

**38. Canadian income taxes** .....

Report Canadian income tax debits and (credits).

**39. NET INCOME (LOSS)** .....

Sum of lines 37 and 38. Transfer value to item 20 (b).

**SECTION D – DISCLOSURE OF SELECTED ACCOUNTS**

Account	Thousands of dollars	Instructions
<b>ASSETS (at market/fair value)</b>		
<b>For the same date as in Section A</b>		
40. Canadian government bonds . . . . .	61311	Include bonds related to items 4 (c) and 4 (d).
41. Canadian corporate bonds . . . . .	61312	Include bonds related to item 4 (e).
42. Canadian equity . . . . .	61313	Include equity related to items 4 (f) and 4 (g).
43. Other Canadian investments . . . . .	61314	Include items 4 (a), 4 (b), and 4 (h).
44. Foreign money market . . . . .	61321	Include foreign assets related to item 5 (a).
45. Foreign debt instruments . . . . .	61322	Include foreign debt related to item 5 (b).
46. Foreign equity . . . . .	61323	Include foreign equity related to items 5 (c) and 5 (d).
47. Other foreign investments . . . . .	61324	Include foreign assets related to item 5 (e).
48. Derivative assets . . . . .	61330	Include assets related to line 6.
49. Other assets . . . . .	61390	Include lines 1, 2, 3, 7, 8 and 9.
50. Total assets at market value . . . . .	61300	Sum of lines 40 to 50. This should equal line 12.

		Thousands of dollars	Instructions
<b>MATCHING OF INCOME STATEMENT GAINS AND (LOSSES) WITH RELATED ASSETS AND LIABILITIES . . . . .</b>			
<b>For the same time period as in Section C</b>			
Allocate the sum of gains and (losses) reported at lines 28, 29 and 36 to assets and liabilities below.			
51.	<p style="text-align: center;">Corresponding asset accounts from Section A</p> <p><b>4. Canadian investments</b></p> <p>(a) (c) Government of Canada debt . . . . .</p> <p>(b) (d) provincial and municipal government debt . . . . .</p> <p>(c) (e) corporate bonds and debentures . . . . .</p> <p>(d) (f) corporate shares and income trust units . . . . .</p> <p>(e) (g) investment fund shares or units . . . . .</p> <p>(f) (h) other Canadian investments . . . . .</p>	81310	
		81320	
		81330	
		81340	
		81370	
		81380	
52.	<p><b>5. Foreign investments</b></p> <p>(a) (a) money market instruments . . . . .</p> <p>(b) (b) debt instruments . . . . .</p> <p>(c) (c) corporate shares . . . . .</p> <p>(d) (d) investment fund units . . . . .</p> <p>(e) (e) other foreign investments . . . . .</p>	81410	Include commercial paper.
		81420	Include bonds, debentures and notes.
		81430	
		81470	
		81480	
		81500	
53.	<p><b>8. Mortgage loans</b></p>	81800	
54.	<p><b>Other assets and liabilities</b></p>	81000	Please specify major items and their values:
55.	<p><b>TOTAL</b></p>	81000	Sum of lines 51 to 55. This should equal the sum of lines 28, 29 and 36.

<b>OTHER DISCLOSURE</b>		Thousands of dollars	Instructions
56.	<b>Hours spent completing questionnaire . . . . .</b>	80005	Report the approximate number of hours required to collect data and complete the questionnaire this quarter.



## Instructions

- ◆ Report all amounts in **thousands of Canadian dollars** – “\$ 000s”
- ◆ Use parentheses to report negative values.
- ◆ If actual data are not available, estimates may be used.

---

### Returning your questionnaire:

Return the completed questionnaire in the enclosed envelope or fax it to Statistics Canada at (800) 552-4428 within 30 days of quarter end.

Fax or other electronic transmission disclosure:

Statistics Canada advises you there could be risk of disclosure during facsimile or other electronic transmission. However, upon receipt of your information, Statistics Canada will provide the guaranteed level of protection afforded all information collected under the authority of the *Statistics Act*.

---

**For further information, definitions of terms used, or questions concerning this survey,** please consult the “Quarterly Survey of Financial Statements Reporting Guide” (available at [www.statcan.ca/english/sdds/2501.htm](http://www.statcan.ca/english/sdds/2501.htm)), and/or contact Statistics Canada at:

Telephone: (877) 898-6686  
Fax: (800) 552-4428  
E-mail: [QSFS-RTEF@statcan.ca](mailto:QSFS-RTEF@statcan.ca)

Statistics Canada  
OID – 2<sup>nd</sup> Floor, Section C-12, Jean Talon Building  
170 Tunney’s Pasture Driveway  
Ottawa, Canada, K1A 0T6

FOR INFORMATION ONLY

THANK YOU FOR YOUR CO-OPERATION