



Quarterly Survey of Financial Statements Financial Services

F6

Confidential document when completed

Please return completed copy within 30 days of quarter end

Si vous préférez ce questionnaire en français, veuillez cocher

Please correct name and address, if necessary

INFORMATION

AUTHORITY

Collected under authority of the Statistics Act, Revised Statutes of Canada, 1985, Chapter S19.

PURPOSE

The information you provide is used in the preparation of industry financial statements for the Canadian financial sector; also, these financial statements are used as inputs to the National Economic Accounts.

CONFIDENTIALITY

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable institution without the previous written consent of that institution. The data reported on this questionnaire will be treated in confidence, used for statistical purposes and published in aggregate form only. The confidentiality provisions of the Statistics Act are not affected by either the Access to Information Act or any other legislation.

INSTRUCTIONS

- Report amounts in thousands of Canadian dollars.
- This report should cover Canadian operations only. Please exclude foreign branches, subsidiaries and affiliates.

- If actual financial data is not available, estimates may be used in this report.

DEFINITIONS

The term "parent, subsidiaries and affiliates" used in this questionnaire covers non-consolidated parent, subsidiary and affiliated corporations; head offices, branches and divisions; affiliated joint ventures and partnerships; directors, officers and individual shareholders. Affiliated companies are corporations which are effectively controlled or whose operations are significantly influenced by the reporting entity or a common owner or group of owners.

FURTHER INFORMATION

Please refer to "Financial Statistics Reporting Guide" or contact:

Operations and Integration Division
Statistics Canada,
Ottawa, Canada,
K1A 0T6

Telephone: (877) 898-6686
Fax: (800) 552-4428

REPORTING ENTITY

1. This report covers (please mark one only)

- a single corporation
- part of a corporation (e.g. branch, division, head office)
- consolidated family of corporations
- Other (please specify) _____

2. Does this reporting entity have investments in the partnerships or joint ventures?

- Yes
- No

3. Has this reporting entity purchased or sold business(es) during the current reporting period which affects assets or revenue by more than 10%?

- Yes
- No

● Please verify the enclosed profile of the entities covered by this report, correct it if necessary and return the profile document with the completed questionnaire.

● Please explain any significant changes in accounting or basis of reporting affecting the comparison of this return with that of the previous quarter.

This report covers the period from:

month to month

Company official to contact regarding this form
(Please print)

Signature

Position

Date

Telephone number

()

FOR STATISTICS CANADA USE ONLY

Rec'd:

Captured:

Analyzed:

Edited:

SECTION A - BALANCE SHEET		Balance Sheet Date		
		01		
			year	month
			day	
ASSETS		Thousands of dollars		
1.	Cash and demand deposits (report term deposits which are cashable on demand in item 2)			
1.1	Cash and Canadian currency demand deposits in chartered bank branches in Canada	11110		
1.8	Other Canadian currency demand deposits	11180		
1.9	Foreign currency demand deposits	11200		
2.	Term deposits (including bearer term notes, swapped deposits and deposit receipts, certificates, debentures and like instruments)			
2.1	Canadian currency			
2.1.1	Chartered bank branches in Canada	11631		
2.1.9	Other institutions	11638		
2.9	Foreign currency	11680		
3.	Accounts receivable and accrued revenue (before deducting allowance for doubtful accounts; report amounts due from parent, subsidiaries and affiliates in item 4.3)	12100		
3.9	Less: Allowance for doubtful accounts	12800)
4.	Investment in and claims on parent, subsidiaries and affiliates (before deducting provision for losses-item 19; including individual shareholders, directors and officers)			
4.1	Cost of shares			
4.1.1	Canadian corporations	14110		
4.1.2	Foreign corporations	14120		
4.2	Accumulated earnings less dividends received (to be used only by companies employing the equity method of accounting for unconsolidated subsidiaries and affiliates)	14200		
4.3	Loans, advances, mortgages, notes, bonds and other claims			
4.3.1	Canadian companies, officers and shareholders	14310		
4.3.2	Foreign companies, officers and shareholders	14320		
5.	Canadian investments - non-affiliates, at amortized cost (before deducting provisions or allowances for losses - item 19)			
5.1	Government of Canada treasury bills	15110		
5.2	Bankers' acceptances and finance and other short-term paper (with an original term to maturity of less than one year; including provincial and municipal government short-term bills and notes)	15120		
5.3	Government of Canada debt	15130		
5.4	Provincial and municipal government debt (excluding short-term bills and notes - item 5.2)	15140		
5.5	Corporate bonds and debentures (including mortgage bonds; excluding short-term paper - item 5.2)	15150		
5.6	Corporate shares (including share warrants and options)	15160		
5.9	Other Canadian investments (including precious metals, gold and silver certificates, commodity options, etc.)	15180		
6.	Foreign investments - non-affiliates, at amortized cost (before deducting provisions or allowances for losses - item 19)	15200		
7.	Mortgage loans to non-affiliates (before deducting provisions or allowances for losses - item 19)	16000		
8.	Non-mortgage loans to non-affiliates (before deducting provisions or allowances for losses - item 19)	17000		
9.	Real estate held for income (including properties held for sale; before deduction of accumulated depreciation and encumbrances)	18320		
10.	Land and depreciable assets - gross (including real estate and ground rents, machinery, equipment, buildings, leasehold improvements, etc. for own use and vehicles and equipment in the hands of lessees under operating leases; before deduction of accumulated depreciation and amortization, and encumbrances)	18310		
11.	Less: Accumulated depreciation on real estate held for income and fixed assets	18350	()
12.	Intangible assets (net of accumulated amortization)	19100		
19.	Other assets (including provision for losses and write-downs of investments)	19800		
20.	TOTAL ASSETS	10000		
LIABILITIES				
21.	Accounts payable and accrued liabilities (report amounts due to parent, subsidiaries and affiliates in item 23)	22100		
22.	Income taxes payable (report income taxes recoverable in item 3)	23000		
23.	Amounts owing to parent, subsidiaries and affiliates (including accounts payable, notes, loans, mortgages and bonds)			
23.1	In Canada	24100		
23.2	Outside Canada	24200		

SECTION A – BALANCE SHEET - Concluded		Balance Sheet Date																	
		01	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> <tr> <td style="font-size: 8px;">year</td> <td style="font-size: 8px;">month</td> <td style="font-size: 8px;">day</td> </tr> </table>				year	month	day										
year	month	day																	
LIABILITIES - Continued		Thousands of dollars																	
24.	Borrowing - non-affiliates																		
24.1	Loans and overdrafts (exclude mortgage loans - item 24.4)																		
24.1.1	Chartered bank branches in Canada	25110																	
24.1.9	From others (including capital lease obligations)	25180																	
24.2	Bankers' acceptances and short-term notes and paper (original term to maturity of less than one year) .	25200																	
24.3	Bonds and debentures (including only debt securities offered to the public and private placements; including mortgage bonds)	25400																	
24.4	Mortgage loans	25500																	
28.	Deferred income taxes	27000																	
29.	Minority interest in subsidiaries consolidated in this report	28000																	
30.	Other liabilities (including unrealized gains and losses on the translation of foreign currency)	29000																	
35.	TOTAL LIABILITIES	20000																	
SHAREHOLDERS' EQUITY																			
36.	Share capital																		
36.1	Preferred	31100																	
36.2	Common	31200																	
37.	Contributed surplus	33000																	
38.	Appraisal increase credits (debits)	34000																	
39.	Retained earnings	35000																	
40.	TOTAL SHAREHOLDERS' EQUITY	30000																	
50.	TOTAL LIABILITIES AND SHAREHOLDERS' EQUITY	39000																	
SUPPLEMENTARY QUESTION																			
Amounts owing to parent, subsidiaries and affiliates (related to item 23)																			
	(i) interest bearing debt	61405																	
	(ii) non-interest bearing debt	61407																	
SECTION B - STATEMENT OF RETAINED EARNINGS																			
55.	Retained earnings, opening balance	38100																	
ADD(DEDUCT):																			
56.	Net income (loss) for the current period (transfer from item 100)	38200																	
59.	Other addition (deductions) - please specify major items	38700																	
DEDUCT:																			
60.	Dividends declared																		
60.1	Cash Preferred Shares	38804																	
	Common Shares	38806																	
	Cash dividends declared - total	38810																	
60.2	Other	38880																	
70.	RETAINED EARNINGS, CLOSING BALANCE (SHOULD EQUAL ITEM 39)	38000																	
YEAR-END AND PRIOR YEAR'S ADJUSTMENTS																			
<p>If this report covers your fourth fiscal quarter and the reported revenues and expenses include year-end adjustments (eg. adjustments from preliminary estimates to actual, or year-end accruals not made in the interim financial statements)</p> <p style="text-align: center;">OR</p> <p>If this report includes adjustments through retained earnings pertaining to the prior year's operations (eg. year-end adjustments of the prior year made after the prior year's fourth quarter report was prepared):</p> <p>SPECIFY BELOW THE REVENUE OR EXPENSE ACCOUNTS AFFECTED BY THE ADJUSTMENTS AND THE AMOUNT OF INCREASE OR DECREASE. Report only adjustments in excess of \$500 thousand.</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">ITEM DESCRIPTION</th> <th style="width: 10%;">ITEM NUMBER</th> <th style="width: 15%;">INCREASE</th> <th style="width: 15%;">DECREASE</th> </tr> <tr> <td colspan="4" style="text-align: right; font-size: 8px;">Thousands of dollars</td> </tr> </thead> <tbody> <tr> <td style="height: 20px;"></td> <td></td> <td></td> <td></td> </tr> <tr> <td style="height: 20px;"></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>				ITEM DESCRIPTION	ITEM NUMBER	INCREASE	DECREASE	Thousands of dollars											
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Thousands of dollars																			

SECTION C – INCOME STATEMENT		Period Covered	
02	From	From	To
03	To	year	month
		day	day
REVENUE (net of applicable goods and services tax)		Thousands of dollars	
71.	Commissions and fees		
71.1	Insurance and pension business	44500	
71.2	Sale of real estate	44100	
71.3	Securities brokerage	44200	
71.9	Other	44800	
72.	Interest revenue from Canadian sources (including amortization of discounts and premiums on investments; report interest from parent, subsidiaries and affiliates in item 72.9)		
72.1	Bonds and debentures	45100	
72.9	Other	45800	
73.	Interest revenue from foreign sources (including amortization of discounts and premiums on investments)		
74.	Dividends (excluding dividends from corporations accounted for on the equity basis)		
74.1	From Canadian corporations	46000	
74.2	From foreign corporations	47100	
75.	Gains (losses) on the sale of assets (before income taxes; report extraordinary items under item 96.3) ..	48000	
79.	Other revenue	49000	
80.	TOTAL REVENUE	40000	
EXPENSES			
81.	Depreciation		
81.1	Construction buildings, structures and leasehold improvements	52120	
81.2	Machinery and equipment	52130	
82.	Amortization of		
82.1	Intangible assets	52310	
82.2	Deferred charges	52320	
82.9	Other	52380	
83.	Interest expense	53000	
89.	Other expenses	55000	
90.	TOTAL EXPENSES	50000	
91.	Gains (losses) on the translation of foreign currency (realized and unrealized; including amortization of foreign exchange gains (losses) on monetary assets and liabilities; excluding unrealized gains and losses on the translation of financial statements of self-sustaining foreign operations - item 30)	56100	
92.	Write-offs and valuation adjustments, not classified as extraordinary (report extraordinary items under item 96.3)	56200	
93.	Income (loss) before income taxes	58460	
94.	Canadian income taxes		
94.1	Current	57100	
94.2	Deferred	57200	
95.	Income (loss) after income taxes	58470	
96.	Other gains (losses)		
96.1	Equity in net income of unconsolidated subsidiaries, affiliates, joint ventures and partnerships (to be used only if investment carried on the equity basis)	58100	
96.2	Minority shareholders' portion of net income of subsidiaries and affiliates consolidated in this report ..	58200	
96.3	Extraordinary gains (realized and unrealized; net of income taxes)	58300	
100.	NET INCOME (LOSS) (TRANSFER TO ITEM 56)	58480	
SUPPLEMENTARY QUESTIONS – INCOME STATEMENT			
A.	Dividends received from corporations accounted for on the equity method and credited to the investment accounts		
	Canadian	75100	
	Foreign	75200	
B.	Unusual revenues, expenses, gains/losses from continuing business operations (reported in income statement)	74700	
C.	Interest expense on debt owing to parent, subsidiaries and affiliates (reported in item 83)	74100	
D.	Average number of people employed during the reporting period	80003	
E.	Does your enterprise have revenue between divisions or companies that are eliminated upon the consolidation of your accounts? <input type="checkbox"/> No <input type="checkbox"/> Yes (If yes specify)	40012	Total amount of these eliminations (in thousands of dollars)